

TRUST ACCOUNT FORM



Account details

Trust title			
Trustees	First Trustee correspondence to <input type="checkbox"/>	Second Trustee correspondence to <input type="checkbox"/>	Third Trustee correspondence to <input type="checkbox"/>
Title			
Full name			
Address			
Email address			
Date of birth			

Bank details

Bank			
Account name			
Account number		Sort Code	

Administrative arrangements

Reference Currency	UK Sterling	US Dollar	Euro	Other
Income re-invested	Income paid monthly	Income paid quarterly		
Annual income required				

Account type

Execution Only	Advisory	Managed Advisory	Managed Discretionary

Investment Strategy Not applicable for execution only accounts

Conservative	Balanced	Growth	Aggressive	Specialised *
Low risk 2 yr. minimum	Medium risk 5 yr. minimum	High risk 5 yr. minimum.	High risk 10 yr. minimum	High risk No time minimum
* Investment purpose & Specialised Strategy notes				

What is the expected time horizon for the envisaged investments?

1 year or less	2 to 5 years	6 to 10 years	11 to 15 years	15 years plus

Geographical allocation

Domestic: 100% reference currency	Semi international: 50% domestic, 50% overseas	Global: 100% international

Investments, current net values

Property		
Bank, building society deposits		
Fixed income, bonds		
Stocks and shares		
Collectives, insurance		
Unlisted shares		
Other, non-financial assets		

Investment experience

Instrument	Weekly trades above 5	Monthly trades above 5	Quarterly Trades above 5	Annual Trades above 5
Stocks, shares & collectives				
Derivatives, CFDs, FX, spread bets				
	Average trade size +£20k	Average trade size +£50k	Average trade size +£100k	Average trade size +£250k
Stocks, shares & collectives				
Derivatives, CFDs, FX, spread bets				
Professional financial investment experience or education				

Financial details

Trust value	
Liabilities, future spending	
Annual revenue	
Annual disbursements	

Professional advisers

Name and address of the Trust's accountant		
Name and address of the Trust's legal adviser		

Authorised persons

	First authorised person	Second authorised person
Full name and title		
Address		
Contact number		
Email address		
Signature		

Additional notes

Identification and verification

For the Trust we require

1. a certified copy of the original trust deed or a copy of the will establishing the trust
2. a certified copy of any supplemental trust deeds
3. certified copy of the bank statement for the trust bank account
4. a list of all trustees, those given power in the trust documents to remove or appoint trustees, authorised signatories and living settlers (those who created the trust).

For every individual listed and authorised third party, we need to verify personal identity and address. By signing this form you agree to us undertaking an electronic search for identity and address verification for Anti-Money Laundering/Combating the Financing of Terrorism purposes. If this electronic check does not provide us with sufficient evidence we may ask you to provide further documentary evidence.

Declaration

I/We have read this form and to the best of my knowledge the information that I/we have provided is correct. I/We undertake to notify Henderson Rowe of any significant/material changes. I understand that these objectives should be reviewed regularly. I/We have received and read the Henderson Rowe Terms of Business and Schedule of Fees and Commissions.

All Trustees must sign below. Please note that this Trust Account Form, together with our Terms of Business and Schedule of Fees and Commissions will comprise your contract.

	First Trustee	Second Trustee	Third Trustee
Full name			
Signature			
Date			
Signature of adviser			

Investment Strategies

Conservative

Risk Level	Low risk – the capital value will fluctuate in the short term, and is unlikely to show significant capital gains above inflation
Time Horizon	Not suitable for investors who have time horizons of less than two years
Assets	75% fixed income investment, 25% equity investment
Objectives	To provide stable returns and to minimise the portfolio's exposure to risk

Balanced

Risk Level	Medium risk – the capital value will fluctuate in the medium term, and capital returns may be negative over short time horizons
Time Horizon	Not suitable for investors who have time horizons of less than five years
Assets	50% fixed income investment. 50% equity investment
Objectives	To balance generating real returns with maintaining a relatively low risk exposure

Growth

Risk Level	High risk – the capital value will fluctuate in the medium term, and capital returns may be negative over short to medium time horizon
Time Horizon	Not suitable for investors who have time horizons of less than five years
Assets	25% fixed income investment, 75% equity investment
Objectives	To significantly increase the capital value of the portfolio in real terms

Aggressive

Risk Level	High risk – the capital value will fluctuate in the medium term, and capital returns may be negative over short to medium time horizons
Time Horizon	Not suitable for investors who have time horizons of less than ten years
Assets	100% equity investments
Objectives	To provide significant capital growth above inflation

Specialised

Risk Level	High risk – the capital may fluctuate dramatically, capital returns may be negative over the period of the investment
Time Horizon	Individually defined time horizon
Assets	Individually defined asset allocations
Objectives	To meet highly personal objectives; trading strategies that are intended to generate high capital returns over short time periods